

# Inserting Line Segments into Triangulations and Tetrahedralizations

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## Abstract

An algorithm by Bernal, De Floriani, and Puppo, for inserting a line segment into a Constrained Delaunay triangulation is further developed. The new version of the algorithm inserts the line segment in exactly the same manner in which the old one does but has the additional capability that it does not delete the triangles intersected by the line segment but transforms them through edge-swapping. Since the concept of edge-swapping generalizes to 3-dimensional space, a version of the algorithm without the optimization steps for the Delaunay property is also proposed for attempting to insert a line segment into a tetrahedralization. A result is then presented that shows that for certain cases the failure of this algorithm to insert a line segment is an indication that it can not be done. Finally, 3-dimensional problems that can be approached as 2-dimensional problems are identified.

## 1. Introduction

A *triangulation* for a finite set of points  $S$  in the plane is a finite collection of triangles in the plane having pair-wise disjoint interiors, each of which intersects  $S$  exactly at its vertices, and the union of which is the convex hull of  $S$ . Given a triangulation  $T$  for  $S$ , we say that  $T$  is *Delaunay* if for each triangle in  $T$  there does not exist a point of  $S$  inside the circumcircle of the triangle [11]. A *(Delaunay) tetrahedralization* is similarly defined with tetrahedra and spheres taking the place of triangles and circles.

A more general triangulation can be defined. Let  $S$  be as above, and let  $E$  be a finite collection, possibly empty, of line segments with endpoints in  $S$  that intersect only at points in  $S$ . We say that a triangulation  $T$  for  $S$  is *constrained by  $E$*  if each line segment in  $E$  is the union of edges in  $T$ . Given  $T$ , a triangulation for  $S$  constrained by  $E$ , we say that  $T$  is *Delaunay constrained by  $E$*  if for each  $t$  in  $T$  there does not exist a point  $P$  of  $S$  inside the circumcircle of  $t$  such that no line segment in  $E$  intersects the interior of the convex hull of  $t \cup \{P\}$ .

Let  $E$  be as above. Given  $T$ , a triangulation constrained by  $E$ , we say that  $T$  *satisfies the empty circle criterion on a local basis* if given any two triangles  $t, t'$  in  $T$  that share a common edge not contained in any line segment in  $E$ , then the vertex in  $t' \setminus t$  is not inside the circumcircle of  $t$ . That triangulations of this type and constrained Delaunay triangulations are equivalent has been proven in [5], [9].

Algorithms for the computation of a Delaunay triangulation for the vertices of a polygon constrained by the boundary of the polygon have been presented in [5], [9], [10]. As for the general problem of computing a Delaunay triangulation for a set of  $n$  points constrained by a set of line segments, an  $O(n^2)$  algorithm has been presented in [9],  $O(n \log n)$  divide-and-conquer algorithms have been presented in [4], [13], and an  $O(n \log n)$  plane-sweep algorithm has been presented in [12]. Each one of these algorithms has the disadvantage that the set of line segments must be known before the execution of the algorithm.

In [6] a method has been presented for the incremental computation of a constrained Delaunay triangulation by stepwise insertion of points and line segments. Accordingly, algorithms are presented in [6] for point insertion and line segment insertion into a constrained Delaunay triangulation. Independently, the algorithm for line segment insertion was also presented in [1]. In the following section, we describe a new version of this algorithm that works in the same manner in which the old one does, but that has the additional capability of not deleting the triangles intersected by the line segment, transforming them instead through edge-swapping (Lawson's transformation [8]). In Section 3, we take advantage of the fact that edge-swapping generalizes to 3-dimensional space and propose what would be considered the generalization to 3-dimensional space of the algorithm without the optimization steps for the Delaunay property. A result is then presented that shows that for certain cases the failure of this algorithm to insert a line segment into a tetrahedralization is an indication that it cannot be done. Finally, in the same section, 3-dimensional problems are identified that can be approached algorithmically as if they are 2-dimensional.

## 2. Segment insertion by edge-swapping

Let  $T$  be a triangulation in the plane, not necessarily Delaunay, let  $P_1, P_2, P_1 \neq P_2$ , be vertices in  $T$ , and let  $T^*$  be the triangles in  $T$  whose interiors are intersected by  $\overline{P_1P_2}$ , i. e. the line segment with endpoints  $P_1, P_2$ . We say that  $\overline{P_1P_2}$  has been inserted into  $T$  producing  $\hat{T}$  if  $\hat{T}$  is a triangulation for the vertices of  $T$  such that  $\overline{P_1P_2}$  is the union of edges in  $\hat{T}$  and each triangle in  $T \setminus T^*$  is also in  $\hat{T}$ . In what follows, and assuming that  $T$  is constrained Delaunay, we present procedure INSERT\_SEGMENT which inserts  $\overline{P_1P_2}$  into the triangulation  $T$  by edge-swapping, producing a constrained Delaunay triangulation with  $\overline{P_1P_2}$  as an additional constraint. Without any loss of generality, we assume that  $\overline{P_1P_2}$  is not an edge in  $T$  and that its relative interior does not contain any vertices in  $T$ .

In [1] and [6] this algorithm was presented but without edge-swapping. This older version consists essentially of two steps. In the first step, the triangles whose interiors are intersected by the line segment are detected and deleted so that a non-triangulated region inside the convex hull of the original triangulation results. In the second step, this region is divided into two polygons separated by the line segment, and a Delaunay triangulation is then computed for each polygon. Each polygon satisfies the property that each point in the polygon is visible through the polygon from the line segment. Because of this property, each polygon can be easily triangulated in a linear fashion, and then optimized for the Delaunay property with procedures based on the empty circle criterion. Outlines of this older version, justifications, optimization procedures, and related results can be found in [1], [2], [6], [7].

The new version of the algorithm presented here works essentially in the same manner in which the old one does, thus producing exactly the same triangles, but has the capability through edge-swapping of maintaining at all times a complete triangulation. Let  $T, P_1, P_2, T^*$  be as above. Essentially, ignoring the optimization steps, the new version of the algorithm works as follows. For some integer  $n$ , let  $t_i, i = 1, \dots, n$ , be the triangles in  $T^*$  in the order in which they are intersected by  $\overline{P_1P_2}$  from  $P_1$  to  $P_2$ . Inductively, assume that for an integer  $i, 1 \leq i \leq n-1$ , the triangles  $t_j, j = 1, \dots, i$ , have been processed in that order by the algorithm and that  $T^*$  and  $T$  have been transformed accordingly. Triangle  $t_{i+1}$ , which still belongs to  $T^*$ , is then processed as follows. Triangle  $t'$  is initialized to  $t_{i+1}$ . Triangle  $t''$  is set equal to the triangle in  $T^*$ , not necessarily  $t_i$ , that currently shares a facet with  $t'$  intersected by  $\overline{P_1P_2}$  and which is closer to  $P_1$  than  $t'$  in the direction of  $\overline{P_1P_2}$ . If  $t' \cup t''$  is not a strictly convex quadrilateral then the algorithm is done processing  $t_{i+1}$ . Otherwise  $t', t''$ , and therefore  $T^*$  and  $T$ , are transformed through the replacement of the common edge by the alternative diagonal of the quadrilateral. If only one of the two new triangles is intersected by  $\overline{P_1P_2}$  then  $t'$  is redefined as the one that is intersected. Otherwise it is redefined as the one of the two triangles that is closer to  $P_1$  in the direction of  $\overline{P_1P_2}$ . The process above is repeated for the new  $t'$ , i. e.  $t''$  is redefined, etc. until  $t''$  does not exist as desired or  $t' \cup t''$  is not a strictly convex quadrilateral. The insertion of  $\overline{P_1P_2}$  into  $T$  is accomplished as soon as  $t_n$  is processed by the algorithm.

Let  $T, P_1, P_2, T^*$  be as above. In the following, we list and describe, in the order of their first appearance, procedures used in INSERT\_SEGMENT as primitives.

INTERSECTED\_TRIANGLES( $T, T^*, P_1, P_2, Q, t_F$ ): This procedure identifies  $T^*$ . It also locates  $t_F$  in  $T^*$  with  $P_1$  as one of its vertices and a vertex  $Q$  of  $t_F$  different from  $P_1$ .

NEXT\_TRIANGLE( $T, P_1, P_2, t_P, t_C$ ): Assuming that  $\overline{P_1P_2}$  intersects the interior of  $t_P$ ,  $t_P$  in  $T, P_2 \notin t_P$ , this procedure locates  $t_C$  in  $T$  which shares a facet with  $t_P$  intersected by  $\overline{P_1P_2}$ , and which is closer to  $P_2$  than  $t_P$  in the direction of  $\overline{P_1P_2}$ .

NEXT\_VERTEX( $t_P, t_C, P$ ): Assuming that triangles  $t_P$  and  $t_C$  share a facet, this procedure locates vertex  $P$  of  $t_C$  not in  $t_P$ .

PREVIOUS\_VERTEX( $t_C, P_1, P_2, P, Q$ ): Assuming that  $P$  is a vertex of triangle  $t_C$  and that  $\overline{P_1P_2}$  intersects exactly one of the edges of  $t_C$  with  $P$  as an endpoint, this procedure locates the vertex  $Q$  of  $t_C$  for which  $\overline{P_1P_2}$  does not intersect  $\overline{PQ}$ .

STRICT\_CONVEXITY( $t_C, t_P, flag2$ ): Assuming that triangles  $t_C$  and  $t_P$  share a facet, this procedure sets  $flag2$  to zero whenever  $t_C \cup t_P$  is not a strictly convex quadrilateral.

EDGESWAP( $t_C, t_P, Q, T, T^*$ ): Assuming that  $t_C \cup t_P$  is a strictly convex quadrilateral,  $t_C, t_P$  in  $T^*$ , and that  $Q$  is a vertex in  $t_C \cap t_P$ , this procedure transforms  $t_C, t_P$ , and therefore  $T^*$  and  $T$ , through the replacement of the common edge by the alternative diagonal of the quadrilateral in such a way that  $Q$  is the vertex of the transformed  $t_P$  not in the transformed  $t_C$ .

OPTIMIZE( $T, T^*, t_P, P, Q, R$ ): Assuming that  $P, Q, R$  are the vertices of  $t_P, t_P$  in  $T^*$ , starting with  $t_P$  this procedure transforms  $T^*$ , and therefore  $T$ , through applications of the empty circle criterion and edge-swapping in the direction of  $\overline{QR}$ .

PREVIOUS\_TRIANGLE( $T, P_1, P_2, t_C, t_P$ ): Assuming that  $\overline{P_1P_2}$  intersects the interior of  $t_C, t_C$  in  $T, P_1 \notin t_C$ , this procedure locates  $t_P$  in  $T$  which shares a facet with  $t_C$  intersected by  $\overline{P_1P_2}$ , and which is closer to  $P_1$  than  $t_C$  in the direction of  $\overline{P_1P_2}$ .

THIRD\_VERTEX( $t_C, R, P, Q$ ): Assuming that  $R, P$  are distinct vertices of triangle  $t_C$ , this procedure identifies  $Q$ , the vertex of  $t_C$  different from  $R$  and  $P$ .

The outline of INSERT\_SEGMENT follows. We notice that without the optimization steps (steps 20 and 32) the procedure simply becomes one for inserting a line segment into a triangulation.

```

procedure INSERT_SEGMENT( $T, P_1, P_2$ )
  begin
1.  INTERSECTED_TRIANGLES( $T, T^*, P_1, P_2, Q, t_F$ );
2.   $F(1, t_F) := P_1$ ;  $F(2, t_F) := Q$ ;  $flag1 := 1$ ;
3.  while ( $flag1 = 1$ ) do
      begin
4.     $t_P := t_F$ ;
5.    NEXT_TRIANGLE( $T, P_1, P_2, t_P, t_C$ );
6.    NEXT_VERTEX( $t_P, t_C, P$ );
7.    if ( $P \neq P_2$ ) then
        begin
8.      PREVIOUS_VERTEX( $t_C, P_1, P_2, P, Q$ );
9.       $t_F := t_C$ 
        end
      else
        begin
10.      $Q := F(2, t_P)$ ;  $flag1 := 0$ 
        end
11.     if ( $F(1, t_P) = P_1$ ) then  $F(2, t_P) := Q$ ;
12.      $F(1, t_C) := Q$ ;  $F(2, t_C) := P$ ;  $flag2 := 1$ ;
13.     while ( $flag2 = 1$ ) do
        begin
14.       STRICT_CONVEXITY( $t_C, t_P, flag2$ );
15.       if ( $flag2 = 1$ ) then
        begin
16.          $R := F(1, t_P)$ ;  $t_L := t_C$ ;
17.         EDGE_SWAP( $t_C, t_P, Q, T, T^*$ );
18.         if ( $t_F = t_L$ ) then  $t_F := t_C$ ;
19.         if ( $F(1, t_C) = F(2, t_P)$ ) then
        begin
20.           OPTIMIZE( $T, T^*, t_P, P, Q, R$ );
21.            $F(1, t_C) := R$ ;  $Q := R$ 
        end
        else
        begin
22.            $F(1, t_C) := R$ ;  $F(2, t_C) := F(2, t_P)$ ;
23.            $F(1, t_P) := Q$ ;  $F(2, t_P) := P$ ;  $t_C := t_P$ 
        end
24.         if ( $R \neq P_1$ ) then
        begin
25.           PREVIOUS_TRIANGLE( $T, P_1, P_2, t_C, t_P$ );
26.           if ( $F(1, t_P) = P_1$ ) then  $F(2, t_P) := Q$ ;
27.           if ( $P = P_2$ ) then
        begin
28.              $Q := F(2, t_P)$ ;  $F(1, t_C) := Q$ 
        end
        end
        end
      else
        begin
29.        $flag2 := 0$ ;
30.       if ( $P = P_2$ ) then
        begin
31.         THIRD_VERTEX( $t_C, R, P, Q$ );
32.         OPTIMIZE( $T, T^*, t_C, P, Q, R$ )
        end

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else
  begin
33.    NEXT_TRIANGLE( $T, P_1, P_2, t_C, t_N$ );
34.     $F(2, t_C) := F(1, t_N)$ 
  end
end
end
end
end
end
end

```

Justifications of this procedure appear in [1], [3], [7].

### 3. The 3-dimensional version of the algorithm

Let  $T$  be a tetrahedralization, not necessarily Delaunay, let  $P_1, P_2, P_1 \neq P_2$ , be vertices in  $T$ , and let  $T^*$  be the tetrahedra in  $T$  each of which is intersected by  $\overline{P_1 P_2}$  at either its interior or the relative interior of one of its facets. We say that  $\overline{P_1 P_2}$  can be inserted into  $T$  if a tetrahedralization  $\hat{T}$  for the vertices of  $T$  exists such that  $\overline{P_1 P_2}$  is the union of edges in  $\hat{T}$  and each tetrahedron in  $T \setminus T^*$  is also in  $\hat{T}$ . In what follows, we present procedure 3D\_INSERT\_ATTEMPT which attempts to insert  $\overline{P_1 P_2}$  into  $T$ , and which can be considered as the generalization to 3-dimensional space of INSERT\_SEGMENT without the optimization steps. We notice that only the case for which the relative interior of  $\overline{P_1 P_2}$  does not intersect any edges in  $T$  is addressed in what follows.

Let  $T, P_1, P_2$  be as above. In the following, we list and describe, in the order of their first appearance, procedures used in 3D\_INSERT\_ATTEMPT as primitives. Procedures with obvious 2-dimensional counterparts in INSERT\_SEGMENT are neither listed nor described here.

FIRST\_TETRAHEDRON( $T, P_1, P_2, Q, t_F$ ): This procedure locates  $t_F$  in  $T$  with  $P_1$  as one of its vertices and interior intersected by  $\overline{P_1 P_2}$ , and locates a vertex  $Q$  of  $t_F$ ,  $Q \neq P_1$ .

COMMON\_VERTEX( $t_C, t_P, Q, S, U$ ): Assuming that tetrahedra  $t_C$  and  $t_P$  share a facet, and that  $Q$  and  $S$  are vertices, not necessarily distinct, of the facet, this procedure locates  $U$ , a vertex of the facet different from  $Q$  and  $S$ .

TWO\_THREE( $T, t_C, t_P, P, R, Q, U$ ): Assuming that  $t_C \cup t_P$  is a strictly convex hexahedron,  $t_C, t_P$  in  $T$ , that  $P$  is the vertex in  $t_C \setminus t_P$ , that  $R$  is the vertex in  $t_P \setminus t_C$ , and that  $Q, U, Q \neq U$ , are vertices in  $t_C \cap t_P$ , this procedure transforms  $T$  by transforming  $t_C$  and  $t_P$  into the three tetrahedra that have  $\overline{PR}$  in common and whose union is the hexahedron, in such a way that  $t_C$  becomes the one of the three tetrahedra that does not have  $Q$  as a vertex, and  $t_P$  the one that has  $Q$  and  $U$  as vertices.

FACET\_INTERSECT( $P, R, U, P_1, P_2, flag2$ ): Assuming that  $P, R, U$  are the vertices of a facet of a tetrahedron, this procedure sets  $flag2$  to zero whenever  $\overline{P_1 P_2}$  does not intersect the relative interior of the facet.

The outline of 3D\_INSERT\_ATTEMPT follows. Here a variable  $flag$  is defined which at the end of the execution of the procedure equals 1 if  $\overline{P_1 P_2}$  has been inserted, zero otherwise.

```

procedure 3D_INSERT_ATTEMPT( $T, P_1, P_2, flag$ )
  begin
1.     $flag := 0$ ;
2.    FIRST_TETRAHEDRON( $T, P_1, P_2, Q, t_F$ );
3.     $F(1, t_F) := P_1$ ;  $F(2, t_F) := Q$ ;  $flag1 := 1$ ;
4.    while ( $flag1 = 1$ ) do
      begin
5.         $t_P := t_F$ ;
6.        NEXT_TETRAHEDRON( $T, P_1, P_2, t_P, t_C$ );
7.        NEXT_VERTEX( $t_P, t_C, P$ );
8.        if ( $P \neq P_2$ ) then
          begin
9.            PREVIOUS_VERTEX( $t_C, P_1, P_2, P, Q$ );
10.            $t_F := t_C$ 
          end
        else
          begin
11.            $Q := F(2, t_P)$ ;  $flag1 := 0$ 

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    end
12.   if ( $F(1, t_P) = P_1$ ) then  $F(2, t_P) := Q$ ;
13.    $F(1, t_C) := Q$ ;  $F(2, t_C) := P$ ;  $flag2 := 1$ ;
14.   while ( $flag2 = 1$ ) do
    begin
15.     STRICT_CONVEXITY( $t_C, t_P, flag2$ );
16.     if ( $flag2 = 1$ ) then
    begin
17.        $R := F(1, t_P)$ ;  $S := F(2, t_P)$ ;
18.       COMMON_VERTEX( $t_C, t_P, Q, S, U$ );
19.       if ( $F(1, t_C) = F(2, t_P)$ ) then
    begin
20.        $t_L := t_C$ ;
21.       TWO_THREE( $T, t_C, t_P, P, R, Q, U$ );
22.       if ( $t_F = t_L$ ) then  $t_F := t_C$ ;
23.        $F(1, t_C) := R$ ;  $Q := R$ 
    end
    else
24.     begin
25.       FACET_INTERSECT( $P, R, U, P_1, P_2, flag2$ );
26.       if ( $flag2 = 1$ ) then
    begin
27.        $t_L := t_C$ ;
28.       TWO_THREE( $T, t_C, t_P, P, R, Q, U$ );
29.       if ( $t_F = t_L$ ) then  $t_F := t_C$ ;
30.        $F(1, t_C) := R$ ;  $F(2, t_C) := F(2, t_P)$ ;
31.        $F(1, t_P) := Q$ ;  $F(2, t_P) := P$ ;  $t_C := t_P$ 
    end
    end
    end
    if ( $flag2 = 1$ ) then
    begin
32.     if ( $R \neq P_1$ ) then
    begin
33.       PREVIOUS_TETRAHEDRON( $T, P_1, P_2, t_C, t_P$ );
34.       if ( $F(1, t_P) = P_1$ ) then  $F(2, t_P) := Q$ ;
35.       if ( $P = P_2$ ) then
    begin
36.        $Q := F(2, t_P)$ ;  $F(1, t_C) := Q$ 
    end
    end
    else
    begin
37.        $flag2 := 0$ ;
38.       if ( $P = P_2$ ) then  $flag := 1$ 
    else
    begin
39.       NEXT_TETRAHEDRON( $T, P_1, P_2, t_C, t_N$ );
40.        $F(2, t_C) := F(1, t_N)$ 
    end
    end
    end
    end
    end
    end
    end
    end
    end
    end

```

Experiments show that 3D\_INSERT\_ATTEMPT seldom succeeds in inserting a line segment. However, this may just be an indication that it is seldom possible to insert a line segment into a tetrahedralization. Let  $T, P_1, P_2, T^*$  be as above. The following proposition shows that for a certain kind of  $T^*$  the failure of the procedure simply signifies that  $\overline{P_1 P_2}$  can not be inserted into  $T$ .

**Proposition 1.** If points  $Q_1, Q_2$  exist,  $Q_1 \neq Q_2$ , that are vertices of every tetrahedron in  $T^*$ , then at the end of the execution of 3D\_INSERT\_ATTEMPT, variable *flag* equals 1 if and only if  $\overline{P_1 P_2}$  can be inserted into  $T$ .

**Proof.** That *flag* equal to 1 implies that the line segment can be inserted into  $T$  follows trivially. Thus, it remains to be shown that if *flag* equals zero then the line segment can not be inserted into  $T$ .

For some positive integer  $n$ , let  $t_i, i = 1, \dots, n$ , be the tetrahedra in  $T^*$  in the order in which they are intersected by the line segment from  $P_1$  to  $P_2$ .

At the end of the execution of the procedure let  $T^{**}$  be the collection of tetrahedra in  $T$  that are intersected by the relative interior of the line segment, and for some positive integer  $m$ , let  $t'_i, i = 1, \dots, m$ , be the tetrahedra in  $T^{**}$  in the order in which they are intersected by the line segment from  $P_1$  to  $P_2$ .

Clearly,  $n \geq m$ , and since *flag* equals zero it follows that  $m \geq 3$ .

Let  $R_0$  equal  $P_1$ , and, inductively, for each  $i, i = 1, \dots, n$ , let  $R_i$  be the vertex of  $t_i$  different from  $R_{i-1}, Q_1$ , and  $Q_2$ . Similarly, points  $R'_i, i = 0, \dots, m$  are defined with respect to  $t'_i, i = 1, \dots, m$ .

We define a function  $f$  from  $\{0, \dots, m\}$  into  $\{0, \dots, n\}$  in such a way that for each  $i, i = 0, \dots, m$ ,  $R'_i$  equals  $R_{f(i)}$ . Based on this definition, for each  $i, i = 1, \dots, m$ , we then define sets  $W_i \subset \{R_0, \dots, R_n\}$ , by

$$W_i \equiv \{R_{f(i-1)} = R'_{i-1}, R_{f(i-1)+1}, \dots, R_{f(i)} = R'_i\}.$$

From the definition of  $T^{**}$  it follows that given  $i, 2 \leq i \leq m$ , the union of  $t'_{i-1}$  and  $t'_i$  is not a strictly convex hexahedron (step 15 of procedure). Thus, it is not possible to insert the line segment and at the same time to have a new tetrahedron in  $T$  with vertices  $Q_1, R'_{i-2}, R'_{i-1}, R'_i$ . The same is true for a tetrahedron with vertices  $Q_2, R'_{i-2}, R'_{i-1}, R'_i$ . From this and the fact that it is always true that  $F(1, t_C)$  equals  $F(2, t_P)$  in step 19 of the procedure, it follows that for each  $i, i = 2, \dots, m$ , it is not possible to insert the line segment and at the same time to have a new tetrahedron with one vertex equal to either  $Q_1$  or  $Q_2$ , two vertices in  $W_{i-1}$ , and one vertex in  $W_i \setminus \{R'_{i-1}\}$ .

In what follows, we assume that the line segment can be inserted into  $T$ . Thus, we must assume that  $T^*$  has been transformed in such a way that the line segment is one of its edges. Clearly, in the transformed  $T^*$ , which we denote by  $\hat{T}^*$ , only one tetrahedron can have both  $Q_1$  and  $Q_2$  as vertices, namely the tetrahedron with vertices  $Q_1, Q_2, P_1$ , and  $P_2$ . All other tetrahedra with either  $Q_1$  or  $Q_2$  as a vertex have in addition three vertices of the form  $R_j, R_k, R_l, 0 \leq j < k < l \leq n$ .

For some integer  $n', 1 \leq n' < n$ , we define integers  $h_i, l_i, i = 0, \dots, n'$ , as follows. We let  $h_0$  and  $l_0$  equal 0 and  $n$ , respectively. Inductively, given  $i, i > 0$ , we assume integers  $h_{i-1}, l_{i-1}, 0 \leq h_{i-1} < l_{i-1} \leq n$ , have been defined such that for integers  $j, k, 1 \leq j < k \leq m, R_{h_{i-1}} \in W_j, R_{l_{i-1}} \in W_k, R_{h_{i-1}} \neq R'_j, R_{l_{i-1}} \neq R'_{k-1}$ , and the triangle with vertices  $Q_1, R_{h_{i-1}}, R_{l_{i-1}}$  is a facet of a tetrahedron in  $\hat{T}^*$ . Then from the geometry of  $T^*$  and the last fact about the triangle with vertices  $Q_1, R_{h_{i-1}}, R_{l_{i-1}}$ , it follows that integers  $h_i, l_i$  exist,  $h_{i-1} < h_i < l_i \leq l_{i-1}$ , for which  $R_{h_i} \in W_j, R_{l_i} \notin W_j$ , and the tetrahedron with vertices  $Q_1, R_{h_{i-1}}, R_{h_i}, R_{l_i}$  belongs to  $\hat{T}^*$ . If  $R_{l_i}$  belongs to  $W_{j+1}$  then we let  $n'$  equal  $i$ . That for some  $i, 1 \leq i < n$ , and some  $j, 1 \leq j < m, R_{l_i}$  belongs to  $W_{j+1}$ , while  $R_{h_{i-1}}, R_{h_i}$  belong to  $W_j$ , follows from the fact that  $\{h_i\}$  is an increasing sequence of integers bounded above by  $\{l_i\}$  which is itself a non-increasing sequence of integers. Thus,  $n'$  is well defined. However, this is a contradiction, for it implies for some  $j, 1 \leq j < m$ , the existence of a tetrahedron in  $\hat{T}^*$  with one vertex equal to  $Q_1$ , two vertices in  $W_j$ , namely  $R_{h_{n'-1}}$  and  $R_{h_{n'}}$ , and one vertex in  $W_{j+1} \setminus \{R'_j\}$ , namely  $R_{l_{n'}}$ . This completes the proof of the proposition.

Finally, we shed more light on the fundamental differences between planar and 3-dimensional line insertion problems by identifying those 3-dimensional problems that can be approached algorithmically as 2-dimensional problems. In order to do this we first develop some notation. For a positive integer  $n$ , let  $P_i, i = 1, \dots, n$ , be distinct points in the  $x-y$  plane of 3-dimensional space, and for each  $i, i = 1, \dots, n$ , let  $x_i, y_i$  be the  $x$ - and  $y$ -coordinates, respectively, of  $P_i$ . Given a triangulation  $T$  for the set of points  $P_i, i = 1, \dots, n$ , and numbers  $z_i, i = 1, \dots, n$ , we let  $T'$  be the collection of distinct 2-dimensional triangles in 3-dimensional space whose perpendicular projection onto the  $x-y$  plane is  $T$ , and whose set of vertices equals the set of points  $P'_i, i = 1, \dots, n$ , defined by setting  $P'_i$  equal to  $(x_i, y_i, z_i)$  for each  $i, i = 1, \dots, n$ .

Let  $P_i, P'_i, x_i, y_i, z_i, i = 1, \dots, n, T, T'$  be as above. Assume that  $\overline{P_1 P_2}$  is not an edge in  $T$  and that its relative interior does not contain any vertices in  $T$ . Let  $T^*$  be the collection of triangles in  $T$  that are intersected by the relative interior of  $\overline{P_1 P_2}$ , and let  $\bar{T}$  be the collection of triangles in  $T'$  whose perpendicular projection onto the  $x-y$  plane is  $T^*$ . For arbitrarily large positive  $z$  we let  $\hat{Q}$  represent the point  $(0, 0, z)$ , and  $\hat{T}$  the collection of tetrahedra obtained by computing the convex hulls of  $\hat{Q}$  together with each of the triangles in  $\bar{T}$ . In what follows, we say that  $\overline{P'_1 P'_2}$  can be inserted into  $\hat{T}$  if a collection of tetrahedra  $\hat{T}$  exists

such that the tetrahedra in  $\hat{T}$  have pair-wise disjoint interiors, the relative interior of  $\overline{P'_1 P'_2}$  is contained in the interior of the union of the tetrahedra in  $\hat{T}$ ,  $\overline{P'_1 P'_2}$  is an edge in  $\hat{T}$ ,  $\hat{T}$  and  $\hat{T}$  have the same set of vertices, and the union of the tetrahedra in  $\hat{T}$  equals the union of the tetrahedra in  $\hat{T}$ . Based on these definitions, we notice that if  $\overline{P'_1 P'_2}$  satisfies the prerequisite for insertion into  $\hat{T}$ , i. e. its relative interior lies entirely in  $\hat{T}$  and does not intersect any edges of tetrahedra in  $\hat{T}$ , then an attempt can be made to insert it into  $\hat{T}$  with 3D\_INSERT\_ATTEMPT even though  $\hat{T}$  is not necessarily a complete tetrahedralization for its vertices.

We assume that  $\overline{P'_1 P'_2}$  satisfies the prerequisite for insertion into  $\hat{T}$ , that INSERT\_SEGMENT (without the optimization steps) has been executed for inserting  $\overline{P_1 P_2}$  into  $T$ , and that procedure EDGE\_SWAP (step 17 of INSERT\_SEGMENT) has been executed  $m$  times during the insertion. Similarly, we assume that 3D\_INSERT\_ATTEMPT has been executed for attempting to insert  $\overline{P'_1 P'_2}$  into  $\hat{T}$  and that procedure TWO\_THREE (steps 21 and 27 of 3D\_INSERT\_ATTEMPT) has been executed  $m'$  times during the attempt.

We define functions  $a, e$  from  $\{1, \dots, m\}$  into  $\{(i, j) : 1 \leq i < j \leq n\}$  as follows: Given  $l, 1 \leq l \leq m$ , we set  $a(l)$  and  $e(l)$  equal to  $(h, k)$  and  $(q, r)$ , respectively, where  $h, k, q, r$  are integers,  $1 \leq h < k \leq n, 1 \leq q < r \leq n$ , for which after the  $l^{th}$  execution of EDGE\_SWAP in INSERT\_SEGMENT,  $\overline{P_h P_k}$  is the new edge in the triangulation and  $\overline{P_q P_r}$  is the edge that has been eliminated. Correspondingly, assuming  $m' > 0$ , we also define functions  $a', e'$  from  $\{1, \dots, m'\}$  into  $\{(i, j) : 1 \leq i < j \leq n\}$  as follows: Given  $l, 1 \leq l \leq m'$ , we set  $a'(l)$  and  $e'(l)$  equal to  $(h, k)$  and  $(q, r)$ , respectively, where  $h, k, q, r$  are integers,  $1 \leq h < k \leq n, 1 \leq q < r \leq n$ , for which after the  $l^{th}$  execution of TWO\_THREE in 3D\_INSERT\_ATTEMPT,  $\overline{P'_h P'_k}$  is the edge that the three new tetrahedra have in common and  $\overline{P'_q P'_r}$  is the edge that the two eliminated tetrahedra had in common and that does not have  $\hat{Q}$  as an endpoint. Clearly,  $a(m)$  equals  $(1, 2)$ , and if 3D\_INSERT\_ATTEMPT is successful then  $m' > 0$  and  $a'(m')$  also equals  $(1, 2)$ .

Finally, in what follows, given integers  $h, k, q, r, 1 \leq h < k \leq n, 1 \leq q < r \leq n$ , we say that  $(h, k)$  crosses  $(q, r)$  if the relative interiors of  $\overline{P_h P_k}$  and  $\overline{P_q P_r}$  have one and only one point in common. Assuming  $(h, k)$  crosses  $(q, r)$ , we say then that  $(h, k)$  is below  $(q, r)$  if at the point at which  $\overline{P_h P_k}$  intersects  $\overline{P_q P_r}$ ,  $\overline{P'_h P'_k}$  is lower than  $\overline{P'_q P'_r}$  with respect to the  $z$ -axis.

We are now ready to present a proposition that identifies the conditions that the tetrahedra in  $\hat{T}$  must satisfy so that  $\overline{P'_1 P'_2}$  satisfies the prerequisite for insertion into  $\hat{T}$ , and can then be inserted into  $\hat{T}$  with 3D\_INSERT\_ATTEMPT in a manner that mimics exactly what INSERT\_SEGMENT does when inserting  $\overline{P_1 P_2}$  into  $T$ .

**Proposition 2.**  $\overline{P'_1 P'_2}$  satisfies the prerequisite for insertion into  $\hat{T}$ ,  $m$  equals  $m'$ , and for each integer  $l, l = 1, \dots, m, a(l)$  equals  $a'(l)$ , and  $e(l)$  equals  $e'(l)$  so that  $\overline{P'_1 P'_2}$  can be inserted into  $\hat{T}$  if and only if for each integer  $l, l = 1, \dots, m, e(l)$  is below  $a(l)$ .

**Proof.** The ‘only if’ part follows easily. In order to prove the ‘if’ part it suffices to prove that for each integer  $l, l = 1, \dots, m, e(l)$ , which obviously crosses  $(1, 2)$ , is below  $(1, 2)$ . This will imply that the line segment satisfies the prerequisite for insertion in  $\hat{T}$ , and that *flag2* always equals 1 in step 25 of 3D\_INSERT\_ATTEMPT (after the execution of procedure FACET\_INTERSECT in step 24).

Let  $T^*$  be as defined above, and let  $T_0^*$  equal  $T^*$ . Inductively, for each  $l, l = 1, \dots, m$ , let  $T_l^*$  be the collection of triangles in the  $x - y$  plane of 3-dimensional space which is the transformation of  $T_{l-1}^*$  after the  $l^{th}$  edge swap.

Let  $\bar{T}$  be as defined above. For each  $l, l = 0, \dots, m$ , let  $\bar{T}_l$  be the collection of distinct 2-dimensional triangles in 3-dimensional space whose perpendicular projection onto the  $x - y$  plane equals  $T_l^*$ , and whose set of vertices equals that of  $\bar{T}$ .

For each  $l, l = 0, \dots, m$ , we define a real-valued function  $f_l$  with domain the union of the triangles in  $T^*$  as follows. Given a point  $P$  in a triangle in  $T^*$  we let  $\hat{x}, \hat{y}$  be the  $x$ - and  $y$ -coordinates, respectively, of  $P$ , and let  $f_l(P)$  be the unique number for which the point defined by  $(\hat{x}, \hat{y}, f_l(P))$  belongs to a triangle in  $\bar{T}_l$ . Given an integer  $l, 1 \leq l \leq m$ , let  $h, k, q, r, 1 \leq h < k \leq n, 1 \leq q < r \leq n$ , be those integers for which  $a(l)$  equals  $(h, k)$  and  $e(l)$  equals  $(q, r)$ . By definition  $T_l^*$  is the transformation of  $T_{l-1}^*$  obtained by replacing the edge with endpoints  $P_q, P_r$  by the edge with endpoints  $P_h, P_k$ . Clearly, the replaced edge is shared by two triangles in  $T_{l-1}^*$  whose union is a strictly convex quadrilateral and the new edge is the alternative diagonal of this quadrilateral. These observations and the fact that  $e(l)$  is below  $a(l)$  imply that  $f_{l-1}$  equals  $f_l$  everywhere except in the relative interior of the aforementioned quadrilateral in which  $f_{l-1}$  is strictly less than  $f_l$ . In particular, given a point  $P$  in the relative interior of the replaced edge, it then follows that  $f_{l-1}(P) < f_l(P)$ . Thus, since the edge with endpoints  $P_1, P_2$  belongs to  $T_m^*$ , given an integer  $l, 1 \leq l \leq m$ , and a point  $P$  which is the intersection of the edge with endpoints  $P_1, P_2$  and the edge replaced in  $T_{l-1}^*$  during the  $l^{th}$  edge swap, it must follow that  $f_{l-1}(P) < f_l(P) \leq f_m(P)$ . Hence,  $e(l)$  is below  $(1, 2)$  and the proof of the proposition is complete.

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